

849

Response to Product Transfer Account Adjustment

Functional Group=**CF**

This Draft Standard for Trial Use contains the format and establishes the data contents of the Response to Product Transfer Account Adjustment Transaction Set (849) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to transmit a detailed or summary response to a party requesting an accounting adjustment relating to a pre-authorized product transfer.

Heading:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>	<u>Usage</u>
010	ST	Transaction Set Header	M	1			Must use
020	BRC	Beginning Segment for Response to Product Transfer Account Adjustment	M	1			Must use
050	REF	Reference Identification	O	12			Used
LOOP ID - N1					50		
080	N1	Name	O	1			Used

Detail:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>	<u>Usage</u>
LOOP ID - CON					10000		
010	CON	Contract Number Detail	O	1			Used
LOOP ID - N1					50		
060	N1	Name	O	1			Used
LOOP ID - PAD					1000		
120	PAD	Product Adjustment Detail	O	1			Used
130	LIN	Item Identification	O	1			Used
140	AAA	Request Validation	O	10			Used
170	UIT	Unit Detail	O	5			Used
180	QTY	Quantity	O	5			Used
190	AMT	Monetary Amount	O	2		N2/190	Used
210	REF	Reference Identification	O	12			Used
220	DTM	Date/Time Reference	O	10			Used

Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>	<u>Usage</u>
010	CTT	Transaction Totals	M	1		N3/010	Must use
020	AMT	Monetary Amount	O	5		N3/020	Used
030	SE	Transaction Set Trailer	M	1			Must use

Notes:

- 2/190 The AMT segment is to be used only in cases where the amount cannot be computed from other detail.
- 3/010 Number of line items (CTT01) is the accumulation of the number of CON segments. If used, hash total (CTT02) is the sum of the quantities (QTY02) for each QTY segment.
- 3/020 The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

ST Transaction Set Header

Pos: 010	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 2

User Option (Usage): Must use

To indicate the start of a transaction set and to assign a control number

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
ST01	143	Transaction Set Identifier Code	M	ID	3/3	Must use
		Description: Code uniquely identifying a Transaction Set				
		<u>Code</u>		<u>Name</u>		
		849		Response to Product Transfer Account Adjustment		
ST02	329	Transaction Set Control Number	M	AN	4/9	Must use
		Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set				

Semantics:

1. The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

BRC Beginning Segment for Response to Product Transfer Account Adjustment

Pos: 020	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 4

User Option (Usage): Must use

To identify the beginning of a Response to Product Transfer Account Adjustment Transaction Set

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
BRC01	353	Transaction Set Purpose Code	M	ID	2/2	Must use
		Description: Code identifying purpose of transaction set				
		<u>Code</u>		<u>Name</u>		
		00		Original		
BRC02	373	Date	M	DT	8/8	Must use
		Description: Date expressed as CCYYMMDD				
BRC03	128	Reference Identification Qualifier	X	ID	2/3	Used
		Description: Code qualifying the Reference Identification				
		<u>Code</u>		<u>Name</u>		
		CM		Buyer's Credit Memo		
BRC04	127	Reference Identification	X	AN	1/30	Used
		Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				

Syntax Rules:

1. P0304 - If either BRC03 or BRC04 is present, then the other is required.

Semantics:

1. BRC02 is the date of the response to product transfer account adjustment.
2. BRC05 is the time of the response to product transfer account adjustment.

REF Reference Identification

Pos: 050	Max: 12
Heading - Optional	
Loop: N/A	Elements: 2

User Option (Usage): Used

To specify identifying information

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Identification Qualifier	M	ID	2/3	Must use
		Description: Code qualifying the Reference Identification				
		<u>Code</u>		<u>Name</u>		
		AM		Adjustment Memo (Charge Back)		
REF02	127	Reference Identification	X	AN	1/30	Used
		Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				

Syntax Rules:

1. R0203 - At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Loop N1

Pos: 080	Repeat: 50
Optional	
Loop: N1	Elements: N/A

User Option (Usage): Used

To identify a party by type of organization, name, and code

Loop Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Usage</u>
080	N1	Name	O	1		Used

N1**Name**

Pos: 080	Max: 1
Heading - Optional	
Loop: N1	Elements: 4

User Option (Usage): Used

To identify a party by type of organization, name, and code

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N101	98	Entity Identifier Code	M	ID	2/3	Must use
		Description: Code identifying an organizational entity, a physical location, property or an individual				
		Code		Name		
		DB		Distributor Branch		
		MF		Manufacturer of Goods		
N102	93	Name	X	AN	1/60	Used
		Description: Free-form name				
N103	66	Identification Code Qualifier	X	ID	1/2	Used
		Description: Code designating the system/method of code structure used for Identification Code (67)				
		Code		Name		
		11		Drug Enforcement Administration (DEA)		
N104	67	Identification Code	X	AN	2/80	Used
		Description: Code identifying a party or other code				

Syntax Rules:

1. R0203 - At least one of N102 or N103 is required.
2. P0304 - If either N103 or N104 is present, then the other is required.

Comments:

1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2. N105 and N106 further define the type of entity in N101.

Loop CON

Pos: 010	Repeat: 10000
Optional	
Loop: CON Elements: N/A	

User Option (Usage): Used

To specify contract or reference number and status

Loop Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Usage</u>
010	CON	Contract Number Detail	O	1		Used
060		Loop N1	O		50	Used
120		Loop PAD	O		1000	Used

CON Contract Number Detail

Pos: 010	Max: 1
Detail - Optional	
Loop: CON	Elements: 3

User Option (Usage): Used

To specify contract or reference number and status

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
CON01	128	Reference Identification Qualifier	M	ID	2/3	Must use
		Description: Code qualifying the Reference Identification				
		<u>Code</u>		<u>Name</u>		
		VC		Vendor Contract Number		
CON02	127	Reference Identification	M	AN	1/30	Must use
		Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				
CON03	846	Contract Status Code	M	ID	2/2	Must use
		Description: Code designating the current status of the contract				
		<u>Code</u>		<u>Name</u>		
		VA		Valid Open Contract		

Loop N1

Pos: 060	Repeat: 50
Optional	
Loop: N1	Elements: N/A

User Option (Usage): Used

To identify a party by type of organization, name, and code

Loop Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Usage</u>
060	N1	Name	O	1		Used

N1**Name**

Pos: 060	Max: 1
Detail - Optional	
Loop: N1	Elements: 4

User Option (Usage): Used

To identify a party by type of organization, name, and code

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N101	98	Entity Identifier Code	M	ID	2/3	Must use
		Description: Code identifying an organizational entity, a physical location, property or an individual				
		<u>Code</u>		<u>Name</u>		
		ST		Ship To		
N102	93	Name	X	AN	1/60	Used
		Description: Free-form name				
N103	66	Identification Code Qualifier	X	ID	1/2	Used
		Description: Code designating the system/method of code structure used for Identification Code (67)				
		<u>Code</u>		<u>Name</u>		
		11		Drug Enforcement Administration (DEA)		
N104	67	Identification Code	X	AN	2/80	Used
		Description: Code identifying a party or other code				

Syntax Rules:

1. R0203 - At least one of N102 or N103 is required.
2. P0304 - If either N103 or N104 is present, then the other is required.

Comments:

1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
2. N105 and N106 further define the type of entity in N101.

Loop PAD

Pos: 120	Repeat: 1000
Optional	
Loop: PAD	Elements: N/A

User Option (Usage): Used

To specify the product transfer type, and/or line item number

Loop Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Usage</u>
120	PAD	Product Adjustment Detail	O	1		Used
130	LIN	Item Identification	O	1		Used
140	AAA	Request Validation	O	10		Used
170	UIT	Unit Detail	O	5		Used
180	QTY	Quantity	O	5		Used
190	AMT	Monetary Amount	O	2		Used
210	REF	Reference Identification	O	12		Used
220	DTM	Date/Time Reference	O	10		Used

PAD Product Adjustment Detail

Pos: 120	Max: 1
Detail - Optional	
Loop: PAD	Elements: 1

User Option (Usage): Used

To specify the product transfer type, and/or line item number

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
PAD01	350	Assigned Identification	X	AN	1/20	Used

Description: Alphanumeric characters assigned for differentiation within a transaction set

Syntax Rules:

1. R0102 - At least one of PAD01 or PAD02 is required.
2. P0405 - If either PAD04 or PAD05 is present, then the other is required.

Semantics:

1. PAD01 is the line item identification.

LIN Item Identification

Pos: 130	Max: 1
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To specify basic item identification data

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
LIN02	235	Product/Service ID Qualifier	M	ID	2/2	Must use
		Description: Code identifying the type/source of the descriptive number used in Product/Service ID (234)				
		Code		Name		
		N4		National Drug Code in 5-4-2 Format		
LIN03	234	Product/Service ID	M	AN	1/48	Must use
		Description: Identifying number for a product or service				

Syntax Rules:

1. P0405 - If either LIN04 or LIN05 is present, then the other is required.
2. P0607 - If either LIN06 or LIN07 is present, then the other is required.
3. P0809 - If either LIN08 or LIN09 is present, then the other is required.
4. P1011 - If either LIN10 or LIN11 is present, then the other is required.
5. P1213 - If either LIN12 or LIN13 is present, then the other is required.
6. P1415 - If either LIN14 or LIN15 is present, then the other is required.
7. P1617 - If either LIN16 or LIN17 is present, then the other is required.
8. P1819 - If either LIN18 or LIN19 is present, then the other is required.
9. P2021 - If either LIN20 or LIN21 is present, then the other is required.
10. P2223 - If either LIN22 or LIN23 is present, then the other is required.
11. P2425 - If either LIN24 or LIN25 is present, then the other is required.
12. P2627 - If either LIN26 or LIN27 is present, then the other is required.
13. P2829 - If either LIN28 or LIN29 is present, then the other is required.
14. P3031 - If either LIN30 or LIN31 is present, then the other is required.

Semantics:

1. LIN01 is the line item identification

Comments:

1. See the Data Dictionary for a complete list of IDs.
2. LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

AAA Request Validation

Pos: 140	Max: 10
Detail - Optional	
Loop: PAD	Elements: 3

User Option (Usage): Used

To specify the validity of the request and indicate follow-up action authorized

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AAA01	1073	Yes/No Condition or Response Code	M	ID	1/1	Must use
		Description: Code indicating a Yes or No condition or response				
		<u>Code</u>		<u>Name</u>		
		N		No		
AAA02	559	Agency Qualifier Code	O	ID	2/2	Used
		Description: Code identifying the agency assigning the code values				
		<u>Code</u>		<u>Name</u>		
		DR		National Wholesale Druggists Association		
AAA03	901	Reject Reason Code	O	ID	2/2	Used
		Description: Code assigned by issuer to identify reason for rejection				

Semantics:

1. AAA01 designates whether the request is valid or invalid. Code "Y" indicates that the code is valid; code "N" indicates that the code is invalid.

Comments:

1. If AAA02 is used, AAA03 contains a code from an industry code list.

UIT Unit Detail

Pos: 170 Max: 5
 Detail - Optional
 Loop: PAD Elements: 3

User Option (Usage): Used

To specify item unit data

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
UIT01	C001	Composite Unit of Measure	M	Comp		Must use
		Description: To identify a composite unit of measure(See Figures Appendix for examples of use)				
	355	Unit or Basis for Measurement Code	M	ID	2/2	Must use
		Description: Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken				
		<u>Code</u>		<u>Name</u>		
		UN		Unit		
UIT02	212	Unit Price	X	R	1/17	Used
		Description: Price per unit of product, service, commodity, etc.				
UIT03	639	Basis of Unit Price Code	O	ID	2/2	Used
		Description: Code identifying the type of unit price for an item				
		<u>Code</u>		<u>Name</u>		
		CT		Contract		
		SC		Submitted Contract		
		SW		Submitted Wholesale		
		WH		Wholesale		

Syntax Rules:

1. C0302 - If UIT03 is present, then UIT02 is required.

QTY Quantity

Pos: 180	Max: 5
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To specify quantity information

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
QTY01	673	Quantity Qualifier	M	ID	2/2	Must use
		Description: Code specifying the type of quantity				
		<u>Code</u>		<u>Name</u>		
		32		Quantity Sold		
		83		Submitted Quantity Sold		
QTY02	380	Quantity	X	R	1/15	Used
		Description: Numeric value of quantity				

Syntax Rules:

1. R0204 - At least one of QTY02 or QTY04 is required.
2. E0204 - Only one of QTY02 or QTY04 may be present.

Semantics:

1. QTY04 is used when the quantity is non-numeric.

AMT Monetary Amount

Pos: 190	Max: 2
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To indicate the total monetary amount

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AMT01	522	Amount Qualifier Code	M	ID	1/3	Must use
		Description: Code to qualify amount				
		<u>Code</u>		<u>Name</u>		
		A		Adjusted Chargeback Claim Amount		
		S		Submitted Chargeback Claim Amount		
AMT02	782	Monetary Amount	M	R	1/18	Must use
		Description: Monetary amount				

REF Reference Identification

Pos: 210	Max: 12
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To specify identifying information

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Identification Qualifier	M	ID	2/3	Must use
		Description: Code qualifying the Reference Identification				
		<u>Code</u>		<u>Name</u>		
		DI		Distributor Invoice Number		
REF02	127	Reference Identification	X	AN	1/30	Used
		Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				

Syntax Rules:

1. R0203 - At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

DTM Date/Time Reference

Pos: 220	Max: 10
Detail - Optional	
Loop: PAD	Elements: 2

User Option (Usage): Used

To specify pertinent dates and times

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier	M	ID	3/3	Must use
		Description: Code specifying type of date or time, or both date and time				
		<u>Code</u>		<u>Name</u>		
		003		Invoice		
DTM02	373	Date	X	DT	8/8	Used
		Description: Date expressed as CCYYMMDD				

Syntax Rules:

1. R020305 - At least one of DTM02, DTM03 or DTM05 is required.
2. C0403 - If DTM04 is present, then DTM03 is required.
3. P0506 - If either DTM05 or DTM06 is present, then the other is required.

CTT Transaction Totals

Pos: 010	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 1

User Option (Usage): Must use

To transmit a hash total for a specific element in the transaction set

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
CTT01	354	Number of Line Items	M	NO	1/6	Must use

Description: Total number of line items in the transaction set

Syntax Rules:

1. P0304 - If either CTT03 or CTT04 is present, then the other is required.
2. P0506 - If either CTT05 or CTT06 is present, then the other is required.

Comments:

1. This segment is intended to provide hash totals to validate transaction completeness and correctness.

AMT Monetary Amount

Pos: 020	Max: 5
Summary - Optional	
Loop: N/A	Elements: 2

User Option (Usage): Used

To indicate the total monetary amount

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
AMT01	522	Amount Qualifier Code	M	ID	1/3	Must use
		Description: Code to qualify amount				
		<u>Code</u>		<u>Name</u>		
		A		Adjusted Chargeback Claim Amount		
		S		Submitted Chargeback Claim Amount		
AMT02	782	Monetary Amount	M	R	1/18	Must use
		Description: Monetary amount				

SE Transaction Set Trailer

Pos: 030	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 2

User Option (Usage): Must use

To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
SE01	96	Number of Included Segments	M	NO	1/10	Must use
		Description: Total number of segments included in a transaction set including ST and SE segments				
SE02	329	Transaction Set Control Number	M	AN	4/9	Must use
		Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set				

Comments:

1. SE is the last segment of each transaction set.